

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
6 March 2003 (06.03.2003)

PCT

(10) International Publication Number  
**WO 03/017989 A1**

(51) International Patent Classification<sup>7</sup>: **A61K 9/70**,  
A61L 29/16, 27/54, A61K 31/04, A61P 9/10

(21) International Application Number: PCT/US02/26963

(22) International Filing Date: 15 August 2002 (15.08.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/935,442 23 August 2001 (23.08.2001) US

(71) Applicant: **SCIMED LIFE SYSTEMS, INC.** [US/US];  
One Scimed Place, Maple Grove, MN 55311 (US).

(72) Inventor: **KNAPP, David, M.**; 1352 Goodrich Avenue,  
Saint Paul, MN 55105 (US).

(74) Agent: **BONHAM, David, B.**; Mayer, Fortkort &  
Williams, PC, 251 North Avenue West, 2nd Floor, West-  
field, NJ 07090 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,  
SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN,  
YU, ZA, ZM, ZW.

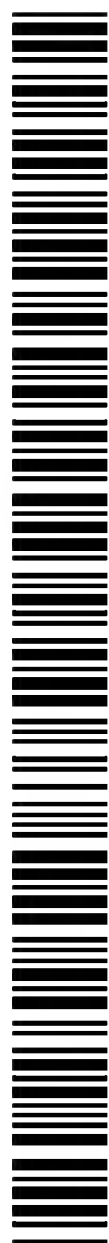
(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK,  
TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,  
GW, ML, MR, NE, SN, TD, TG).

**Published:**  
— with international search report

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

(54) Title: COMPOSITIONS AND TECHNIQUES FOR LOCALIZED THERAPY OF RESTENOSIS

(57) Abstract: A therapeutic medical article is provided which comprises a medical article, a precursor compound and an activor compound. The medical article is adapted, upon administration to a patient, to release the precursor compound and the activator compound such that the activator compound interacts with the precursor compound and converts the precursor compound into activated form for local delivery. Specific examples of precursor and activator compound pairs include: (a) a nitrosothiol precursor and a nitric oxide donor, (b) plasminogen and plasminogen activator, and (c) fibrinogen and thrombin.



**WO 03/017989 A1**

## COMPOSITIONS AND TECHNIQUES FOR LOCALIZED THERAPY OF RESTENOSIS

## FIELD OF THE INVENTION

[0001] This invention relates to compositions and techniques for localized therapy.

## BACKGROUND OF THE INVENTION

[0002] At present, numerous therapeutic techniques involve the systemic delivery of one or more therapeutic agents. Systemic delivery techniques, however, are not well suited to all therapies. For instance, systemic delivery requires exposing sites other than the site of interest to a therapeutic agent. Indeed, large quantities of therapeutic agent within the entire system are often required to obtain the desired effect at a desired site. As a result, the therapeutic agent concentration at the site of interest is often limited by the detrimental effects of the agent at sites remote from the site of interest.

[0003] Systemic delivery techniques are also commonly undesirable in that the therapeutic agent is degraded and eliminated by an organ system(s) remote from the site of interest.

[0004] The above problems can be avoided by techniques in which a therapeutic agent is locally delivered to a site of interest. In response to this recognition, techniques and articles for the localized delivery of therapeutic agents to bodily tissue have been developed.

## SUMMARY OF THE INVENTION

[0005] The present invention provides novel compositions and techniques for localized delivery of various therapeutic agents to the body.

[0006] According to an embodiment of the present invention, a therapeutic

medical article is provided, which comprises a medical article, a precursor compound and an activator compound. The medical article is adapted, upon administration to a patient, to release the precursor compound and the activator compound such that the activator compound interacts with the precursor compound and converts the precursor compound into activated form for local delivery.

[0007] Three examples of precursor/ activator compound pairs that can be used in connection with the present invention are: (a) a nitrosothiol precursor and a nitric oxide donor, (b) plasminogen and plasminogen activator, (c) fibrinogen and thrombin.

[0008] Preferred medical articles include vascular medical devices (e.g., injection catheters, infusion balloon catheters, coated balloon catheters, coated stents and coated stent-grafts) and non-vascular medical devices (e.g., polymer adhesives, tissue sealants, wound dressings, artificial tissues, extravascular wraps and implantable pumps).

[0009] In some preferred embodiments of the invention, the precursor compound and the activator compound are provided within one or more polymeric matrices that constitute all or a portion of the medical article. For example, the precursor compound and the activator compound can be provided within a single polymeric matrix, or they can be provided within different polymeric matrices.

[0010] In other preferred embodiments, the precursor compound and the activator compound can be provided within one or more populations of microparticles that are associated with the medical article. The precursor compound and the activator compound can be provided, for example, within the same population of microparticles or within distinct populations of microparticles. Moreover, the microparticles can be, for instance, provided in a suspension that is dispensed by the medical article (e.g., an injection catheter, an infusion balloon catheter, a coated balloon catheter or a coated stent).

[0011] According to another embodiment of the present invention, a

therapeutic medical article like that described above is placed at an administration site on or within a patient, resulting in the release of the precursor compound and activator compound from the therapeutic medical article such that a therapeutically effective amount of the activated form of the precursor molecule is produced at the administration site.

[0012] One advantage of this aspect of the present invention is that therapeutic agents can be generated in the body at the site of interest, rather than elsewhere in the body where the therapeutic agents have little therapeutic effect or even a harmful effect.

[0013] Another advantage is that potentially harmful, highly reactive molecules can be converted at the site of interest into molecules with therapeutic characteristics.

[0014] These and other embodiments and advantages of the present invention will become immediately apparent to those of ordinary skill in the art upon reading the disclosure to follow.

#### DETAILED DESCRIPTION OF THE INVENTION

[0015] According to an embodiment the present invention, a precursor molecule and an activator molecule are concurrently delivered to a treatment site. As the molecules interact with one another at the treatment site, the activator molecule converts the precursor molecule into a new form, providing a therapeutic effect. Hence, as used herein, an activator molecule (also referred to herein as an activator compound) is one that converts another molecule, referred to herein as a precursor molecule (also referred to herein as a precursor compound), into a new form, referred to herein as the activated form, which is a therapeutic molecule (also referred to herein as a therapeutic agent, etc.) that provides a therapeutic effect.

[0016] Several examples of such molecules follow:

- The case where the precursor molecule is a nitrosothiol precursor and the activator molecule is a nitric oxide donor that converts the nitrosothiol precursor into a nitrosothiol.
- The case where the precursor molecule is a growth factor precursor and the activator molecule is an activator that converts the growth factor precursor to an active growth factor (such as TGF-beta1 or IGF-1).
- The case where the precursor molecule is plasminogen and the activator molecule is a plasminogen activator.
- The case where the precursor molecule is fibrinogen and the activator molecule is thrombin.
- The case where the precursor molecule is a therapeutic molecule that is attached to a support and the activator molecule activates the precursor molecule by catalyzing the release of the same.
- The case where the precursor molecule is activated into a therapeutic molecule by a change in temperature, a change in pressure, or by application of energy such as radiation energy, ultrasonic energy, or visible or ultraviolet light provided by a laser.
- The case where the precursor molecule is an enzyme precursor (zymogen) and the activator molecule is a catalyst that activates the enzyme precursor (for instance, by causing the enzyme precursor to undergo partial proteolysis).

[0017] Medical articles are defined herein as any medical supply which can be applied to the body or inserted into the body, and which can act as a delivery vehicle for one or more agents of interest. Medical articles appropriate for the local delivery of the precursor and activator molecules onto or within the body include both vascular and non-vascular medical articles.

[0018] Preferred non-vascular medical articles include fibrin glue, other

polymer adhesives and tissue sealants, wound dressings, artificial tissues, extravascular wraps, implantable pumps, bandages and wraps.

[0019] Preferred vascular medical articles include vascular catheters (for example, coated balloon catheters, injection catheters and infusion catheters), coated or uncoated stents (including vascular stents and cerebral stents), stent grafts, vascular grafts, shunts, aneurysm fillers (including Guglielmi detachable coils), intraluminal paving systems, guide wires, heart valves, balloons, embolic agents (for example polymeric particles, spheres, and liquid embolics) and filters (for example, vena cava filters).

[0020] Preferred sites for placement of the medical articles include the skin (for example, on skin wounds or over openings), coronary vasculature, peripheral vasculature, esophagus, trachea, colon, gastrointestinal tract, biliary tract, urinary tract, prostate, brain and surgical sites.

[0021] The activator and precursor molecules can be disposed upon or within the medical article in a variety of configurations including the following: (a) covalent or non-covalent attachment of one or both molecules to surface regions of the medical article, (b) disposition of one or both molecules within polymer matrices associated with the medical article, and (c) disposition of one or both molecules within fluids associated with the medical article, including solutions of one or both molecules, solid suspensions of one or both molecules, microparticle compositions (including microsphere, emulsion and liposome suspensions) comprising one or both molecules (wherein the microparticle compositions act as reservoirs for one or both molecules), and fluid gels containing one or more molecules.

[0022] Numerous techniques are known in the art by which a molecule of interest can be associated with a medical article using these and other configurations. More detailed discussions concerning certain of these configurations are found below.

[0023] Various combinations of the configurations are possible. For



example, (1) the precursor and donor compound can be provided within a single matrix, within a single fluid, or on a single surface, (2) the precursor and donor compounds can be provided within distinct matrices or within distinct fluids or on distinct surfaces, (3) the activator compound can be provided in a matrix and the precursor compound can be provided in a fluid, or *vice versa*, (3) the activator compound can be provided in a matrix and the precursor compound can be covalently or non-covalently attached to a surface or *vice versa*, (5) the activator compound can be provided in a fluid and the precursor compound can be covalently or non-covalently attached to a surface or *vice versa*, and so forth.

[0024] Matrices are preferred reservoirs for precursor and activator compounds in many embodiments of the invention. For example, the precursor and activator molecules can be disposed within a single matrix or they can be disposed within separate matrices. The matrix or matrices can constitute, for example, an entire medical article or a distinct portion of a medical article (for example, a discrete article component, a portion of an article component, a coating on the article surface, and so forth).

[0025] The matrix configurations used in accordance with the present invention are generally selected to control release of one or both of the precursor/activator molecules by one or more of several mechanisms, including diffusion of these molecules through the matrix and release of the compound from the matrix due to matrix degradation.

[0026] Release from a matrix can be controlled in a number of ways, including the selection of the particular matrix material. Numerous matrix materials appropriate for the practice of the present invention exist in the art. Preferred matrices for the precursor and activator molecules are polymer materials, such as polycarboxylic acids, including polyacrylic acid (available as HYDROPLUS®, Boston Scientific Corporation, Natick, Mass., and described in U.S. Pat. No. 5,091,205, the disclosure of which is hereby incorporated herein by reference.); cellulosic polymers, including cellulose acetate and cellulose nitrate; gelatin;

polyvinylpyrrolidone; cross-linked polyvinylpyrrolidone; polyanhydrides including maleic anhydride polymers; polyamides; polyvinyl alcohols; polyvinyl ethers; polyvinyl aromatics; polyvinyl acetates; polyethylene oxides; glycosaminoglycans; polysaccharides; polyesters including polyethylene terephthalate; polyacrylamides; polyethers; polyether sulfone; polycarbonate; polyalkylenes including polypropylene, polyethylene and high molecular weight polyethylene as well as other polyolefins such as polyisobutylene, polystyrenes; halogenated polyalkylenes including polytetrafluoroethylene; polyurethanes; polyorthoesters; polypeptides, including proteins; silicones; siloxane polymers; polylactic acid; polyglycolic acid; polycaprolactone; polyhydroxybutyrate valerate; coatings from polymer dispersions such as polyurethane dispersions (BAYHDROL®, etc.); fibrin; collagen and derivatives thereof; polysaccharides such as celluloses, starches, dextrans, alginates and derivatives; and hyaluronic acid. Copolymers of the above, such as ethylene-vinyl acetate copolymers, copolymers of polylactic acid and polyglycolic acid, copolymers of polylactic acid and polycaprolactone, and polyethylene glycol/polyethylene oxide copolymers are also contemplated, as are derivatives and blends of the above.

[0027] The following polymers are particularly preferred: polyester polymers, copolymers and derivatives, polyolefin polymers, copolymers and derivatives, polyurethane polymers, copolymers and derivatives, polystyrene polymers, copolymers and derivatives, polyethylene glycol/polyethylene oxide copolymers and derivatives, polyvinyl alcohol polymers, copolymers and derivatives, protein-based coatings and derivatives, and hydrogel polymers, copolymers and derivatives, including natural hydrogels, such as fibrin, collagen, hyaluronic acid, proteoglycan, elastin, laminin, alginate and agarose, as well as synthetic hydrogels, such as polyHEMA and acrylate hydrogels. Polymer blends containing the above are also contemplated.

[0028] Release from a matrix can also be controlled by varying the porosity of



the matrix. For instance, an additional component can be added to a matrix system to increase its porosity.

[0029] Another way of controlling release is to utilize additional matrices or matrices of different thicknesses to modulate transport. For example, transport from a first matrix material containing an activator or precursor molecule can be reduced by providing a second matrix material in the form of a barrier layer over the first matrix material. The barrier layer may or may not contain an activator or precursor molecule. Barrier layers may also be used in cases where it is desirable to effectively block diffusion from a less desirable surface of a matrix, directing diffusion to another more desirable surfaces. For example, a barrier layer can be provided on the inside surface of a matrix in the form of a stent, directing diffusion to the outer surface.

[0030] In a specific example, the precursor molecule can be placed in a first matrix in the form of a first coating and the activator molecule can be placed in a second matrix in the form of a second coating. The first and second coatings can be positioned, for instance, on distinct portions of the medical article, adjacent one another on the medical article, or layered over one another on the medical article. Optionally, additional layers can be disposed between and/or over the first and second coatings. As previously noted, these additional coatings can be used to influence the rate at which the precursor and/or activator molecule diffuses out of the coated medical article.

[0031] Microparticles are also preferred reservoirs for precursor and activator compounds in many embodiments of the invention. The activator and precursor compounds can be provided within the same population of microparticles, or they can each be provided within distinct populations of microparticles. Preferred microparticles include polymer microspheres (i.e., spheres having a diameter ranging from 1 nm to 1500 microns) and liposomes.

[0032] As with the matrices above, if desired, polymer microspheres can be

provided with additional layers that act as a barrier to diffusion. Preferred materials for microspheres include polymer materials such as those discussed above, with the following polymers being particularly preferred: polyester polymers, copolymers and derivatives, polyolefin polymers, copolymers and derivatives, polyurethane polymers, copolymers and derivatives, polystyrene polymers, copolymers and derivatives, polyethylene glycol/polyethylene oxide copolymers and derivatives, polyvinyl alcohol polymers, copolymers and derivatives, protein-based coatings and derivatives, and hydrogel polymers, copolymers and derivatives, including natural hydrogels, such as fibrin, collagen, hyaluronic acid, proteoglycan, elastin, laminin, alginate and agarose, as well as synthetic hydrogels, such as polyHEMA and acrylate hydrogels. Polymer blends containing the above are also contemplated.

[0033] Preferred materials for liposomes include lipids, block co-polymers, and other biologically compatible surfactants and copolymers thereof.

[0034] Typically, the microparticles are found in a suspension dispensed by the medical article which can include adjuvants known in the art, such as phosphate buffered saline, physiological saline, and carbonate buffered saline and soluble carrier molecules such as proteins (for example, albumin), dextran, cyclodextrin, polyethylene glycol, or heparin.

[0035] The precursor and activator molecules can be established within matrices and microparticles (including microspheres and liposomes) using methods that are well known in the art.

[0036] For example, a polymer liquid is first provided that contains the precursor and/or activator molecules in dissolved, emulsified or suspended form. Then, a matrix coating can be formed on a medical article, for example, by spraying the polymer liquid onto the medical article. Alternatively, microspheres can be formed from the polymer liquid, for example, by forming an emulsion of the polymer liquid in aqueous medium, or by spraying droplets of the polymer liquid

into a medium which causes the polymer liquid droplets to solidify (for example, by freezing of the solvent, physical association of the solute or chemical reaction).

[0037] Numerous techniques are also known in the art for the formation of therapeutic-agent-loaded emulsions and liposomes. For example, liposomes (lipid vesicles) are formed when thin lipid films or lipid cakes are hydrated and stacks of liquid crystalline bilayers become fluid and swell. The hydrated lipid sheets detach during agitation and self-close to form large, multilamellar vesicles (LMV) which prevents interaction of water with the hydrocarbon core of the bilayer at the edges. Once these particles have formed, reducing the size of the particle requires energy input in the form of sonic energy (sonication) or mechanical energy (extrusion). Disruption of an LMV suspensions using sonic energy (sonication) typically produces small, unilamellar vesicles (SUV) with diameters in the range of 15-50nm. Lipid extrusion is a technique in which the lipid suspension is forced through a filter with a defined pore size to yield particles having a diameter near the pore size of the filter used. Such methods for preparing and handling liposomes are well known and are found, for example, in the Avanti Polar Lipids, Inc. Catalog, Edition IV, the disclosure of which is hereby incorporated by reference (see also <http://avantilipids.com>).

[0038] Specific embodiments in which the precursor molecule is a nitrosothiol precursor and the activator molecule is a nitric oxide donor will now be discussed in further detail. Nitric oxide is a highly reactive free radical, properly represented by  $\text{NO}^\bullet$ , however, it is also commonly referred to simply as “nitric oxide” and “NO”.

[0039] One advantage of these embodiments is as follows: The release of NO from a nitric oxide donor compound may not alone lead directly to a therapeutic effect. Indeed, NO can react with oxygen species generated in the body by oxidative stress (including superoxide, hydroxyl, peroxy, alkoxyl, hydroperoxyl, and hydrogen peroxide species), resulting in products that can promote atherosclerosis or restenosis at sufficiently high concentrations. (Restenosis usually occurs within the first six months following angioplasty and is due to proliferation

and migration of the cellular components of the vessel wall.) However, by making available a nitrosothiol precursor (for example, a phenolic, thiol or amine molecule), NO from the nitric oxide donor can react with such species to yield nitrosothiols, which can in turn lead to therapeutic outcomes, including restenosis prevention (e.g., by impeding proliferation of vascular smooth muscle in damaged vessels) and perfusion improvement in poorly oxygenated tissues (e.g., by relaxation of vascular smooth muscle), among many others.

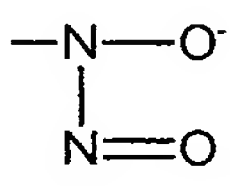
**[0040]** Preferred nitrosothiol precursors for this embodiment of the invention are thiol molecules (i.e., molecules that inherently have one or more -SH groups or are chemically modified to contain one or more -SH groups). The thiol molecules can be small molecules, oligomers (defined in this application as molecules containing two to ten monomer units), and polymers. Preferred small molecule thiols include amino acids and pentaerythritol derivatives. Preferred oligomer thiols include perthiolated alpha-, beta- or gamma-cyclodextrin or small polypeptides. Preferred polymer thiols include proteins, polysaccharides and synthetic polymers such as polyesters and polyethylene glycol derivatives.

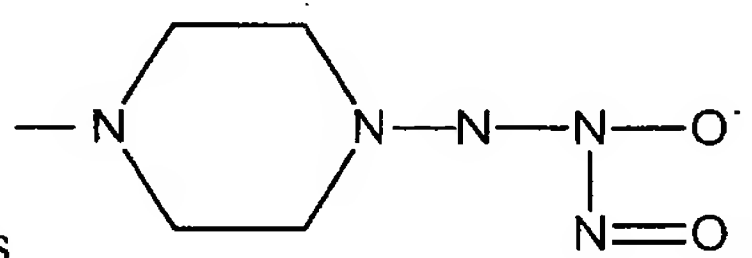
**[0041]** Methodology for forming a thiolated species from a species having one or more pendant nucleophilic groups, such as alcohols or amines, is known. See, e.g., U.S. Patent No. 5,770,645, the entire disclosure of which is hereby incorporated by reference. Methods for producing thiol groups from alcohol groups are also disclosed in U.S. Patent No. 4,466,914, the disclosure of which is incorporated herein by reference.

**[0042]** Preferred NO donors include essentially any organic or organic species that directly or indirectly produces NO, so long as NO release can be delayed until the NO donor is placed on or in the body. Some particularly preferred NO donors are as follows:

- NO precursor molecules. For example, L-arginine, which does not release NO directly, but rather is an enzyme substrate that leads to the formation of nitric oxide *in vivo*.

- Organic nitrate NO donors (i.e., organic compounds having C-O-NO<sub>2</sub> groups). Examples include nitroglycerine.
- Organic nitrite NO donors (i.e., organic compounds having C-O-NO groups). Examples include amyl nitrite.
- Inorganic nitroso NO donors (i.e., inorganic compounds having -NO groups). Examples include sodium nitroprusside.

- Nonoate NO donors (i.e., compounds having at least one  group).

Examples include substituted piperazines  and diazeniumdiolates.

- Lipophilic NO donors such as sydnonimines.
- O-nitrosylated NO donors (i.e., compounds, preferably organic, having -O-NO groups). These are also known as O-nitroso compounds or in some cases organic nitrites.
- S-nitrosylated NO donors (i.e., compounds, preferably organic, having an -S-NO group). These are also known as S-nitroso compounds or S-nitrosothiol compounds. Examples include: S-nitrosylated polymers, S-nitrosylated proteins, S-nitrosylated peptides (including oligopeptides and polypeptides), S-nitrosylated lipids, S-nitrosylated oligosaccharides and polysaccharides and S-nitrosylated small organic molecules (e.g., glutathione, S-nitrosylated pentaerythritol, S-nitrosylated derivatives of captopril, S-nitrosylated amino acids and S-nitrosylated alpha-, beta-, or gamma-cyclodextrin thiols).

[0043] In some embodiments, it is desirable to release catalysts (for example, transition element ions, such as copper and iron ions) that will enhance the reaction of the nitrosothiol precursor and the NO released from the NO donor. It is also

desirable in several embodiments to release factors that inhibit the production of harmful oxygen containing compounds, such as those discussed above, by NO.

[0044] By disposing the activator and precursor molecules upon or within a medical article in an appropriate configuration, the nitrosothiol precursor molecules are released concurrently with the NO donor compounds. As a result, the NO produced/released from NO donor compounds reacts with the nitrosothiol precursor molecules *in vivo* at the treatment site, forming nitrosothiol molecules. Hence, these molecules have a therapeutic effect on a targeted disease state via a local delivery mechanism.

[0045] In some preferred embodiments, the nitrosothiol precursor (e.g., thiol) and NO donor are delivered as a fluid to a treatment site, for example, by local delivery through a medical article such as an infusion balloon catheter, a needle injection catheter or via direct injection. As noted above, one preferred fluid is a suspension of microparticles (including polymer microspheres and liposomes). In some of these embodiments, the NO donor and the thiol are co-localized within the same microparticles. In others, the NO donor is contained in one population of microparticles and the thiol is contained in another population.

[0046] In other preferred embodiments, the thiol and the NO donor are delivered from a matrix (for example, a polymer coating) associated with a medical article (such as a balloon or drug delivery catheter, or stent).

[0047] Of course other configurations and other medical articles, including stent grafts, embolic agents, bandages or wound dressings, tissue issue sealants or replacements, implantable pumps, intraluminal paving devices, extravascular sleeves, and so forth can be utilized.

[0048] As a specific example, a polymer solution consisting preferably of a copolymer of isobutylene and styrene, a thiol (such as 1% by weight of suspended glutathione particles) and a co-dissolved NO donor (such as NO-conjugated cyclodextran thiol) is dip coated onto a stent. The stent is dried and sterilized post-



fabrication. The resulting stent is implanted into a patient having a stenosis of the coronary artery as a restenosis treatment.

[0049] Patients appropriate for the practice of the present invention include animal patients, preferably mammals, and more preferably humans.

[0050] Although various embodiments are specifically illustrated and described herein, it will be appreciated that modifications and variations of the present invention are covered by the above teachings and are within the purview of the appended claims without departing from the spirit and intended scope of the invention.

## CLAIMS:

1. A therapeutic medical article comprising:
  - a medical article;
  - a precursor compound; and
  - an activator compound, wherein said medical article is adapted, upon administration to a patient, to release said precursor compound and said activator compound such that said activator compound interacts with said precursor compound and converts said precursor compound into activated form for local delivery.
2. The therapeutic medical article of claim 1, wherein said precursor compound and said activator compound are provided within one or more polymeric matrices constituting all or a portion of said medical article.
3. The therapeutic medical article of claim 2, wherein said precursor compound and said activator compound are provided within a single polymeric matrix.
4. The therapeutic medical article of claim 2, wherein said precursor compound and said activator compound are provided within different polymeric matrices.
5. The therapeutic medical article of claim 2, wherein at least one of said one or more polymeric matrices comprise one or more polymers selected from polyesters polymers and copolymers, polyolefin polymers and copolymers, polyurethane polymers and copolymers, polystyrene polymers and copolymers, copolymers of polyethylene glycol and polyethylene oxide, polyvinyl alcohol polymers and copolymers, protein-based matrices and hydrogels.

6. The therapeutic medical article of claim 2, wherein said one or more polymer matrices are provided in the form of one or more coatings on a medical article.
7. The therapeutic medical article of claim 2, wherein said medical article is a vascular medical device.
8. The therapeutic medical article of claim 1, wherein said precursor compound and said activator compound are provided within one or more populations of microparticles that are associated with said medical article.
9. The therapeutic medical article of claim 8, wherein said precursor compound and said activator compound are provided within the same population of microparticles.
10. The therapeutic medical article of claim 8, wherein said precursor compound and said activator compound are provided within distinct populations of microparticles.
11. The therapeutic medical article of claim 8, wherein said microparticles are in a suspension dispensed by said medical article.
12. The therapeutic medical article of claim 11, wherein said medical article is a vascular medical device selected from an injection catheter, an infusion balloon catheter, a coated balloon catheter and a coated stent.
13. The therapeutic medical article of claim 8, wherein said microparticles are selected from polymer microspheres and liposomes.

14. The therapeutic medical article of claim 1, wherein said medical article is a vascular medical device.

15. The therapeutic medical article of claim 14, wherein said vascular medical device is selected from an injection catheter, an infusion balloon catheter, a coated balloon catheter, a coated stent, and a coated stent-graft.

16. The therapeutic medical article of claim 1, wherein said medical article is a non-vascular medical device selected from a polymer adhesive, a tissue sealant, a wound dressing, an artificial tissue, an extravascular wrap and an implantable pump.

17. The therapeutic medical article of claim 1, wherein said precursor molecule is a growth factor precursor and said activator molecule acts to convert said growth factor precursor to an active growth factor.

18. The therapeutic medical article of claim 17, wherein said active growth factor is selected from TGF-beta1 and IGF-1.

19. The therapeutic medical article of claim 1, wherein said precursor molecule is plasminogen and said activator molecule is a plasminogen activator.

20. The therapeutic medical article of claim 1, wherein said precursor molecule is fibrinogen and said activator molecule is thrombin.

21. The therapeutic medical article of claim 1, wherein said precursor molecule is a therapeutic molecule attached to the medical article and said activator molecule is a molecule that catalyzes the release of the precursor molecule.

22. The therapeutic medical article of claim 1, wherein said precursor molecule is a nitrosothiol precursor and said activator molecule is a nitric oxide donor.

23. The therapeutic medical article of claim 22, wherein said nitric oxide donor is selected from L-arginine, organic nitrate compounds, organic nitrite compounds, inorganic nitroso compounds, nonoate compounds, lipophilic NO donors and S-nitrosylated compounds.

24. The therapeutic medical article of claim 22, wherein said nitrosothiol precursor is selected from a small molecule thiol compound, an oligomeric thiol compound and a polymeric thiol compound.

25. The therapeutic medical article of claim 24, wherein said thiol compound is selected from glutathione, pentaerythritol derivatives, oligosaccharides having thiol groups, polysaccharides having thiol groups, amino acids having thiol groups, oligopeptides having thiol groups, polypeptides having thiol groups, and lipids having thiol groups.

26. A method comprising:

providing a therapeutic medical article comprising (a) a medical article, (b) a precursor compound and (c) an activator compound; and

placing said therapeutic medical article at an administration site on or within a patient, wherein said precursor compound and activator compound are released from said therapeutic medical article and interact with one another at said administration site such that a therapeutically effective amount of an activated form of said precursor molecule is produced at said administration site.

27. The method of claim 26, wherein said precursor compound and said activated compound are administered from one or more polymeric matrices associated with said medical article.

28. The method of claim 26, wherein said precursor compound and said activated compound are administered from one or more populations of microparticles associated with said medical article.

29. The method of claim 26, wherein said precursor compound and said activated compound are locally administered to the vasculature.

30. The method of claim 26, wherein said precursor molecule is a growth factor precursor and said activator molecule acts to convert said growth factor precursor to an active growth factor.

31. The method of claim 26, wherein said active growth factor is selected from TGF-beta1 and IGF-1.

32. The method of claim 26, wherein said precursor molecule is plasminogen and said activator molecule is a plasminogen activator.

33. The method of claim 26, wherein said precursor molecule is fibrinogen and said activator molecule is thrombin.

34. The method of claim 26, wherein said precursor molecule is a therapeutic molecule attached to the medical article and said activator molecule is a molecule that catalyzes the release of the precursor molecule.



35. The method of claim 26, wherein said precursor molecule is a nitrosothiol precursor and said activator molecule is a nitric oxide donor.

36. The method of claim 35, wherein said nitric oxide donor is selected from L-arginine, organic nitrate compounds, organic nitrite compounds, inorganic nitroso compounds, nonoate compounds, lipophilic NO donors and S-nitrosylated compounds and wherein said nitrosothiol precursor is a thiol compound.

## INTERNATIONAL SEARCH REPORT

Inte lional Application No  
PCT/US 02/26963

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K9/70 A61L29/16 A61L27/54 A61K31/04 A61P9/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61L A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>PULFER S K ET AL: "INCORPORATION OF NITRIC OXIDE-RELEASING CROSSLINKED POLYETHYLENEIMINE MICROSPHERES INTO VASCULAR GRAFTS" JOURNAL OF BIOMEDICAL MATERIALS RESEARCH, WILEY, NEW YORK, NY, US, vol. 37, no. 2, November 1997 (1997-11), pages 182-189, XP000978327 ISSN: 0021-9304 * p. 183-184 : MATERIALS AND METHODS * * p. 187-188 : bridging paragraph * abstract</p> <p style="text-align: center;">--- -/--</p>	1-3, 5-9, 11-15, 22, 23, 26-29, 35, 36

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \* & \* document member of the same patent family

Date of the actual completion of the international search

6 November 2002

Date of mailing of the international search report

19/11/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Laffargue-Haak, T

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/26963

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	BOHL K S ET AL: "Nitric oxide-generating polymers reduce platelet adhesion and smooth muscle cell proliferation" BIOMATERIALS, ELSEVIER SCIENCE PUBLISHERS BV., BARKING, GB, vol. 21, no. 22, 15 November 2000 (2000-11-15), pages 2273-2278, XP004210284 ISSN: 0142-9612 * 2. Materials and Methods * abstract ---	1-3, 5-7, 14, 15, 22-27, 29, 35, 36
X	WO 00 02501 A (BENJAMIN NIGEL ; RICHARDSON GAIL (GB); WILLIAM HARVEY RESEARCH LIM) 20 January 2000 (2000-01-20)  page 5, last paragraph; claims 1, 10, 11, 14 ---	1, 7, 14, 15, 22, 24-26, 29, 35, 36
X	WO 96 33757 A (HERZOG WILLIAM R JR ; NITROSCI MEDICAL PRODUCTS L L (US); POU SOVIT) 31 October 1996 (1996-10-31)  page 6, last paragraph; examples I-V ---	1-3, 5-15, 22, 24, 26-29, 35, 36
X	WO 00 62614 A (NITROSYSTEMS INC) 26 October 2000 (2000-10-26)  page 27, last paragraph - page 28, paragraph 1 page 28, paragraph 3; claims 1-22 ---	1-3, 5-7, 14, 15, 22-29, 35, 36
X	WO 99 38546 A (ADVANCED CARDIOVASCULAR SYSTEM) 5 August 1999 (1999-08-05)  page 8, line 15 - line 22; claims 18, 26 page 5, line 27 - line 29 page 6, line 27 - line 32 ---	1-3, 5-7, 14, 15, 22-25
X	BULT HIDDE: "Restenosis: A challenge for pharmacology." TRENDS IN PHARMACOLOGICAL SCIENCES, vol. 21, no. 7, July 2000 (2000-07), pages 274-279, XP002218449 ISSN: 0165-6147 * see p. 276, Local therapy, and in particular Coated stents * ---	1, 2, 5-9, 11-15, 22-29, 34-36
X	EP 0 567 391 A (SQUIBB BRISTOL MYERS CO) 27 October 1993 (1993-10-27)  abstract; claim 1; examples 1-11 --- -/--	1-3, 5, 8, 9, 16-18, 21, 26-28, 30, 31, 34

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 02/26963

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 889 722 A (SHEFFIELD WARREN D ET AL) 26 December 1989 (1989-12-26)  abstract; claim 1 column 4, line 1 - line 4 ---	1-3,5,8, 16,19, 21, 26-28, 32,34
X	WO 95 22316 A (MARX GERARD) 24 August 1995 (1995-08-24)  examples 10-13 ---	1-3,5,8, 13,20, 21, 26-28, 33,34
A	GRANT MARIA B ET AL: "Expression of IGF-1, IGF-1 receptor and TGF-beta following balloon angioplasty in atherosclerotic and normal rabbit iliac arteries: An immunocytochemical study." REGULATORY PEPTIDES, vol. 79, no. 1, January 1999 (1999-01), pages 47-53, XP002218450 ISSN: 0167-0115 * abstract, last sentence * * p. 52, col. 2- p. 53, col. 1 : "The association of IGF-1 and TGF-beta .. may reduce restenosis." *	26,30,31
P,X	US 2002 022 046 A1 (SHAH CHIRAG B ET AL) 21 February 2002 (2002-02-21) abstract ---	1-36
P,X	WO 02 43786 A (MEDTRONIC AVE INC ;SHAH CHIRAG B (US); TEDESCHI EUGENE (US)) 6 June 2002 (2002-06-06) abstract ---	1-36
P,X	WO 02 056 904 A (BATCHELOR MELISSA M ;UNIV MICHIGAN (US); OH BONG KYUN (US); MEYERH) 25 July 2002 (2002-07-25) abstract ---	1-36
P,X	WO 01 70199 A (BRAATZ JAMES A ;ROSEN GERALD M (US); ZHAO YI JU (US); NOVOVASCULAR) 27 September 2001 (2001-09-27) abstract; example 6 ---	1-26

-/--

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 02/26963

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	JANERO DAVID R ET AL: "Nitric oxide and postangioplasty restenosis: Pathological correlates and therapeutic potential." FREE RADICAL BIOLOGY & MEDICINE, vol. 29, no. 12, 15 December 2000 (2000-12-15), pages 1199-1221, XP002218451 ISSN: 0891-5849 * p. 1210-1211, see NO donors * abstract; figure 7; table 2 ---	1-36
P,X	GANAHA FUMIKIYO ET AL: "Efficient inhibition of in-stent restenosis by controlled hybrid stent-based local release of nitric oxide." CIRCULATION, vol. 104, no. 17 Supplement, 23 October 2001 (2001-10-23), page II.506 XP002218426 Scientific Sessions 2001 of the American Heart Association; Anaheim, California, USA; November 11-14, 2001, October 23, 2001 ISSN: 0009-7322 abstract -----	1-36

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 02/26963

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## Continuation of Box I.2

The expressions "precursor compound" and "activator compound" in independent claims 1 and 26 are not clear and only partly supported by the description (Art. 6 PCT). Hence, the search has been restricted for economical reasons to subject-matter which is considered to be clear and supported, i.e.

nitrosothiol precursors, as defined in paragraph 40 of the description and claims 24, 25 ;

nitric oxide donors, as defined in paragraph 42 of the description and claims 23, 36 ;

the specific example, as disclosed in paragraph 48 of the description ;  
claims 18 (TGF-beta, IGF-1), claim 19 (plasminogen) and claim 20 (fibrinogen, thrombin).

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/26963

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0002501 A	20-01-2000	AU 4919999 A	01-02-2000
WO 9633757 A	31-10-1996	US 5665077 A	09-09-1997
		AU 5562896 A	18-11-1996
		EP 0830161 A	25-03-1998
		JP 11505135 T	18-05-1999
WO 0062614 A	26-10-2000	US 6425881 B	30-07-2002
		AU 4248100 A	02-11-2000
		EP 1178727 A	13-02-2002
WO 9938546 A	05-08-1999	US 6221425 B	24-04-2001
		AU 2019299 A	16-08-1999
		AU 745979 B	11-04-2002
		AU 2567799 A	16-08-1999
		CA 2316223 A	05-08-1999
		EP 1051208 A	15-11-2000
		JP 2002501788 T	22-01-2002
		WO 9938545 A	05-08-1999
		US 6287285 B	11-09-2001
		US 2002009535 A	24-01-2002
		US 2002002353 A	03-01-2002
EP 0567391 A	27-10-1993	AU 3699093 A	28-10-1993
		CA 2093836 A	25-10-1993
		FI 931736 A	25-10-1993
		HU 64863 A	28-03-1994
		JP 6025009 A	01-02-1994
		NO 931431 A	21-10-1993
		NZ 247421 A	27-09-1994
		ZA 9302661 A	26-11-1993
US 4889722 A	26-12-1989	AU 586306 B	06-07-1989
		AU 6656686 A	18-06-1987
		CA 1297791 A	24-03-1992
		DE 3682754 D	16-01-1992
		EP 0227400 A	01-07-1987
		GR 3003305 T	17-02-1993
		JP 2031050 C	19-03-1996
		JP 7064749 B	12-07-1995
		JP 62187414 A	15-08-1987
		ZA 8609434 A	27-07-1988
WO 9522316 A	24-08-1995	CA 2183430 A	24-08-1995
		EP 0748215 A	18-12-1996
		JP 2001508666 T	03-07-2001
		US 5607694 A	04-03-1997
		US 5631019 A	20-05-1997
		US 5651982 A	29-07-1997
US 2002022046 A	21-02-2002	US 6218016 B	17-04-2001
		US 6299980 B	09-10-2001
		AU 3647002 A	11-06-2002
		WO 0243786 A	06-06-2002
		US 2002122814 A	05-09-2002
		EP 0992252 A	12-04-2000
		JP 2000144050 A	26-05-2000

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/US 02/26963

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0243786 A	06-06-2002	US 2002022046 A	21-02-2002
		AU 3647002 A	11-06-2002
		US 2002122814 A	05-09-2002
WO 02056904 A	25-07-2002	US 2002115559 A	22-08-2002
WO 0170199 A	27-09-2001	AU 4757901 A	03-10-2001